SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

4		hours per response:	0.5	
	-			
	5. Relationship of R	eporting Person(s) to Issuer		1

1. Name and Address of Reporting Person [*] Rogers Kristi Marie			2. Issuer Name and Ticker or Trading Symbol QUALYS, INC. [QLYS]		tionship of Reporting Pe all applicable) Director	erson(s) to Issuer 10% Owner	
(Last) (First) (Middle) C/O QUALYS, INC.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/16/2018	-	Officer (give title below)	Other (specify below)	
919 E. HILLSDA					vidual or Joint/Group Filing (Check Applicable		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Fili	ing (Check Applicable	
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Fili Form filed by One Re		
(Street)	CA	94404	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)		eporting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	02/16/2018		M ⁽¹⁾		10,000	A	\$0.00	21,155	D	
Common Stock	02/16/2018		S ⁽¹⁾		4,038	D	\$71.182 ⁽²⁾	17,117	D	
Common Stock	02/16/2018		S ⁽¹⁾		5,962	D	\$72.3277 ⁽³⁾	11,155	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$16.68	02/16/2018		M ⁽¹⁾			10,000	(4)	08/01/2023	Common Stock	10,000	\$0.00	11,000	D	

Explanation of Responses:

1. The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 20, 2017.

2. The sale price represents the weighted average price of the shares sold ranging from \$70.80 to \$71.75 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

3. The sale price represents the weighted average price of the shares sold ranging from \$71.85 to \$72.50 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

4. The option is fully vested and immediately exercisable.

Remarks:

/s/ Bruce Posey by power of

attorney

02/20/2018

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.