SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
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1. Name and Addres	1 0	n*	2. Issuer Name and Ticker or Trading Symbol <u>QUALYS, INC.</u> [QLYS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
COURTOT P	HILIPPE F			X	Director	Х	10% Owner		
,				x	Officer (give title below)		Other (specify below)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		Delow)		Delow)		
C/O QUALYS, I	NC.		(indule) 3. Date of Lamest Hansaction (Month/Day/real)	Chairman, Pres	, President & CEO				
919 E. HILLSDA	LE BLVD.								
P			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group F	iling (Check Applicable		
(Street)				Line)	·	0.			
. ,	СА	94404		X	Form filed by One F	Report	ing Person		
					Form filed by More	One Reporting			
(Citv)	(State)	(Zin)			Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	08/01/2018		F		8,709(1)	D	\$85.05	5,438,092	D		
Common Stock	08/02/2018		M ⁽²⁾		20,000	A	\$3.8	5,458,092	D		
Common Stock	08/02/2018		S ⁽²⁾		5,563	D	\$84.6522(3)	5,452,529	D		
Common Stock	08/02/2018		S ⁽²⁾		11,347	D	\$85.4618(4)	5,441,182	D		
Common Stock	08/02/2018		S ⁽²⁾		3,090	D	\$86.0479(5)	5,438,092	D		
Common Stock								100,000	I	See Footnote ⁽⁶⁾	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	of Expiration		Expiration Date Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Expiration Exercisable Date		Title	Amount or Number of Shares				
Stock Option (right to buy)	\$3.8	08/02/2018		M ⁽²⁾			20,000	(7)	12/02/2019	Common Stock	20,000	\$0.00	211,927	D	

Explanation of Responses:

1. The reported shares were withheld to cover the Reporting Person's tax liability in connection with the vesting of restricted stock units.

2. The sale transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 24, 2018.

3. The sale price represents the weighted average price of the shares sold ranging from \$84.00 to \$84.95 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the

Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

4. The sale price represents the weighted average price of the shares sold ranging from \$85.00 to \$85.975 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

5. The sale price represents the weighted average price of the shares sold ranging from \$86.00 to \$86.20 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

6. These shares are held directly by a custodian under the Uniform Gifts to Minors Act for the benefit of the Reporting Person's minor child.

7. This option is fully vested and immediately exercisable.

Remarks:

/s/ Bruce Posey by power of

08/03/2018 attorney for Philippe F. Courtot

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.