## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

$\Box$	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)
	Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
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1. Name and Addres <u>COURTOT P</u>	s of Reporting Person	* )	2. Issuer Name <b>and</b> Ticker or Trading Symbol QUALYS, INC. [ QLYS ]		tionship of Reporting I all applicable) Director	Person X	n(s) to Issuer 10% Owner
	st) (First) (Middle) O QUALYS, INC. 9 E. HILLSDALE BLVD.		3. Date of Earliest Transaction (Month/Day/Year) 08/09/2018	x	Officer (give title below) Chairman, Preside		Other (specify below) & CEO
(Street) FOSTER CITY (City)	CA (State)	94404 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Group F Form filed by One F Form filed by More t Person	eporti	ing Person

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	08/09/2018		M <sup>(1)</sup>		20,000	A	\$3.8	5,458,092	D		
Common Stock	08/09/2018		S <sup>(1)</sup>		5,964	D	\$88.4239(2)	5,452,128	D		
Common Stock	08/09/2018		S <sup>(1)</sup>		11,269	D	\$89.3061 <sup>(3)</sup>	5,440,859	D		
Common Stock	08/09/2018		S <sup>(1)</sup>		2,767	D	\$89.9583 <sup>(4)</sup>	5,438,092	D		
Common Stock	08/10/2018		<b>M</b> <sup>(1)</sup>		20,000	A	\$3.8	5,458,092	D		
Common Stock	08/10/2018		S <sup>(1)</sup>		7,870	D	\$87.7984(5)	5,450,222	D		
Common Stock	08/10/2018		<b>S</b> <sup>(1)</sup>		12,130	D	\$88.2427(6)	5,438,092	D		
Common Stock	08/13/2018		M <sup>(1)</sup>		20,000	A	\$3.8	5,458,092	D		
Common Stock	08/13/2018		S <sup>(1)</sup>		10,292	D	\$85.7088 <sup>(7)</sup>	5,447,800	D		
Common Stock	08/13/2018		S <sup>(1)</sup>		4,212	D	<b>\$86.6608</b> <sup>(8)</sup>	5,443,588	D		
Common Stock	08/13/2018		S <sup>(1)</sup>		3,682	D	\$87.9199 <sup>(9)</sup>	5,439,906	D		
Common Stock	08/13/2018		S <sup>(1)</sup>		1,814	D	\$88.3455(10)	5,438,092	D		
Common Stock								100,000	I	See Footnote <sup>(1</sup>	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (I	umber vative urities uired or oosed 0) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$3.8	08/09/2018		M <sup>(1)</sup>			20,000	(12)	12/02/2019	Common Stock	20,000	\$0.00	111,927	D	
Stock Option (right to buy)	\$3.8	08/10/2018		M <sup>(1)</sup>			20,000	(12)	12/02/2019	Common Stock	20,000	\$0.00	91,927	D	
Stock Option (right to buy)	\$3.8	08/13/2018		M <sup>(1)</sup>			20,000	(12)	12/02/2019	Common Stock	20,000	\$0.00	71,927	D	

Explanation of Responses:

1. The sale transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 24, 2018.

2. The sale price represents the weighted average price of the shares sold ranging from \$87.85 to \$88.80 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

3. The sale price represents the weighted average price of the shares sold ranging from \$88.85 to \$89.825 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

4. The sale price represents the weighted average price of the shares sold ranging from \$89.85 to \$90.15 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

5. The sale price represents the weighted average price of the shares sold ranging from \$87.00 to \$87.975 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

6. The sale price represents the weighted average price of the shares sold ranging from \$88.00 to \$88.80 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

7. The sale price represents the weighted average price of the shares sold ranging from \$85.25 to \$86.225 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

8. The sale price represents the weighted average price of the shares sold ranging from \$86.25 to \$87.15 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

9. The sale price represents the weighted average price of the shares sold ranging from \$87.30 to \$88.25 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

10. The sale price represents the weighted average price of the shares sold ranging from \$88.30 to \$88.475 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

11. These shares are held directly by a custodian under the Uniform Gifts to Minors Act for the benefit of the Reporting Person's minor child.

12. The option is fully vested and immediately exercisable.

**Remarks:** 

<u>/s/ Bruce Posey by power of</u> <u>attorney for Philippe F. Courtot</u> \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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