SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL												
OMB Number:	3235-0287											

Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person* COURTOT PHILIPPE F			2. Issuer Name and Ticker or Trading Symbol QUALYS, INC. [QLYS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
				X	Director	л	10% Owner		
(Last)	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)		Other (specify below)		
C/O QUALYS, INC.			03/01/2020	Chairman, President & CEO					
919 E. HILLSD	ALE BLVD.								
P			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group I	iling (Check Applicable		
(Street)				Line)					
FOSTER CITY	CA	94404		X	Form filed by One I	Repor	ting Person		
,					Form filed by More	than (One Reporting		
(City)	(State)	(Zip)			Person				
(()	X F7	1						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) Code V		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	03/01/2020		F		1,643(1)	D	\$80.18	5,499,111 ⁽²⁾	D	
Common Stock								100,000	Ι	See Footnote ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reported shares were withheld to cover the Reporting Person's tax liability in connection with the vesting of restricted stock units.

2. Reflects the Reporting Person's holdings as of the filing date of this Form 4.

3. These shares are held directly by a custodian under the Uniform Gifts to Minors Act for the benefit of the Reporting Person's minor child.

Remarks:

<u>/s/ Bruce Posey by power of</u> <u>attorney for Philippe F.</u> 05/04/2020 <u>Courtot</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.