SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0287 |
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| to Section 16. For obligations may | | _ | Filed surgest to Costion 10(s) of the Cosumities Fusikance Act of 1 | | Estimated average burden hours per response: | | | |
|---------------------------------------|-----------|-----------------|--|-------------------|--|---------------------------------------|-----------------------------------|-------|
| Instruction 1(b). | | | Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 or Section 30(h) of the Investment Company Act of 1940 | 134 | | <u> </u> | | |
| 1. Name and Addre | 1 0 | Person* | 2. Issuer Name and Ticker or Trading Symbol QUALYS, INC. [QLYS] | | ationship of F < all applicab | Reporting Person(s) to Issuer ple) | | |
| Hank Jeffrey | <u>P</u> | | | X | Director | | 10% Owner | ī. |
| (Last) C/O QUALYS, I | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 06/10/2020 | | Officer (gi below) | ve title | Other (spec below) | ify |
| 919 E. HILLSD | ALE BLVD. | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) | vidual or Joir | nt/Group Filin | ng (Check Applic | cable |
| (Street) FOSTER CITY | СА | 94404 | | X | | , , | oorting Person an One Reportin | g |
| (City) | (State) | (Zip) | | | | | | |
| | - | Table I - Non-I | Derivative Securities Acquired, Disposed of, or Ber | neficially | v Owned | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
|---------------------------------|--|---|------------------------------|---|--|---------------|---|--|---|------------|
| | | | Code | v | Amount | (A) or (D) | Price | Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 06/10/2020 | | S ⁽¹⁾ | | 1,035 | D | \$108.109 ⁽²⁾ | 10,525 | D | |
| Common Stock | 06/10/2020 | | S ⁽¹⁾ | | 100 | D | \$108.94 | 10,425 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | (371 | | | | , | • | | | | | | | |
|---|---|--|---|------------------------------|---|--|--|---------------------|--------------------|-------|--|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) o Dispe of (D | erivative (Month/Day/Year) ecurities c.cuired A) or isposed f (D) nstr. 3, 4 | | Amount of | | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The sale transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 13, 2019.

2. The sale price represents the weighted average price of the shares sold ranging from \$107.72 to \$108.67 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

Remarks:



06/23/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.