SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				()										
1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol QUALYS, INC. [QLYS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
PACE PETER	2			<u></u>			X	Director	10% C	Dwner				
(Last) C/O QUALYS, I		(Middl	5/	3. Date of Earliest Tra 08/12/2020	ansaction (Mo	nth/Day/Year)		Officer (give title below)	Other below)	(specify)				
919 E. HILLSDALE BLVD.			[4. If Amendment, Dat	e of Original F	iled (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)							X	Form filed by One	e Reporting Pers	on				
FOSTER CITY	CA	94404	1					Form filed by Mor Person	e than One Rep	orting				
(City)	(State)	(Zip)												
	Т	able I -	Non-Deriva	tive Securities A	cquired, I	Disposed of, or Benefi	cially	Owned						
1. Title of Security	(Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or		5. Amount of	6. Ownership	7. Nature				

1. Title of Security (Instr. 3)	3) 2. Transaction Date (Month/Day/Year) 2A. Deemed 3. 4. Securities Acquired (A) or Transaction (Month/Day/Year) 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/12/2020		М		12,000	A	\$13.5	23,860	D	
Common Stock	08/12/2020		М		12,000	A	\$22.31	35,860	D	
Common Stock	08/12/2020		М		12,000	A	\$40.68	47,860	D	
Common Stock	08/12/2020		S		14,602	D	\$100.8705(1)	33,258	D	
Common Stock	08/12/2020		S		6,123	D	\$102.3252(2)	27,135	D	
Common Stock	08/12/2020		S		6,093	D	\$103.6383 ⁽³⁾	21,042	D	
Common Stock	08/12/2020		S		7,389	D	\$104.6379 ⁽⁴⁾	13,653	D	
Common Stock	08/12/2020		S		1,793	D	\$105.2367(5)	11,860	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cais, warrants, options, convertine securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Seci Acq (A) (Disp of (E	umber vative urities uired or oosed D) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$13.5	08/12/2020		М			12,000	(6)	06/04/2023	Common Stock	12,000	\$0.00	0	D	
Stock Option (right to buy)	\$22.31	08/12/2020		М			12,000	(6)	06/04/2024	Common Stock	12,000	\$0.00	0	D	
Stock Option (right to buy)	\$40.68	08/12/2020		М			12,000	(6)	06/04/2025	Common Stock	12,000	\$0.00	0	D	

Explanation of Responses:

1. The sale price represents the weighted average price of the shares sold ranging from \$100.58 to \$101.17 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

2. The sale price represents the weighted average price of the shares sold ranging from \$102.02 to \$102.95 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

3. The sale price represents the weighted average price of the shares sold ranging from \$103.10 to \$104.08 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

4. The sale price represents the weighted average price of the shares sold ranging from \$104.10 to \$105.08 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

5. The sale price represents the weighted average price of the shares sold ranging from \$105.10 to \$105.55 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

6. The option is fully vested and immediately exercisable.

Remarks:

/s/ Bruce Posey by power of

attorney

08/14/2020

Date

** Signature of Reporting Person

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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