SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol QUALYS, INC. [QLYS]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) C/O QUALYS, I	(First) INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/14/2023		Officer (give title below) CEO & Pre	Other (specify below)				
919 E. HILLSDALE BLVD.			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individ Line)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) FOSTER CITY	СА	94404		X	Form filed by One Re Form filed by More the Person					
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication							
			Check this box to indicate that a transaction was made pursuar satisfy the affirmative defense conditions of Rule 10b5-1(c). Set	nt to a contract e Instruction 1	o a contract, instruction or written plan that is intended to nstruction 10.					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1130. 4)	(
Common Stock	06/14/2023		M ⁽¹⁾		3,250	A	\$30.58	169,900	D	
Common Stock	06/14/2023		S ⁽¹⁾		1,015	D	\$127.9834 ⁽²⁾	168,885	D	
Common Stock	06/14/2023		S ⁽¹⁾		1,879	D	\$128.7593 ⁽³⁾	167,006	D	
Common Stock	06/14/2023		S ⁽¹⁾		1,341	D	\$129.8982 ⁽⁴⁾	165,665	D	
Common Stock	06/14/2023		S ⁽¹⁾		770	D	\$130.6448(5)	164,895	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(13), (11), (
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$30.58	06/14/2023		M ⁽¹⁾			3,250	(6)	10/30/2024	Common Stock	3,250	\$30.58	32,411	D	

Explanation of Responses:

1. The sale transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 28, 2023.

2. The sale price represents the weighted average price of the shares sold ranging from \$127.42 to \$128.37 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

3. The sale price represents the weighted average price of the shares sold ranging from \$128.42 to \$129.41 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

4. The sale price represents the weighted average price of the shares sold ranging from \$129.42 to \$130.21 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

5. The sale price represents the weighted average price of the shares sold ranging from \$130.45 to \$131.18 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4. 6. This option is fully vested and immediately exercisable.

Remarks:

<u>/s/ Bruce Posey by power of</u> <u>attorney for Sumedh S. Thakar</u> <u>06/16/2023</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.