SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| L | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

| to Section 16. Fo | | • • • • • | | | Estimated aver | rage burden | |
|--------------------------------------|----------------------|-------------------|--|---|-------------------|-------------------------|------|
| Obligations may Instruction 1(b). | continue. See | Ci | led pursuant to Section 16(a) of the Securities Exchange Act of 1934 | 1 | hours per resp | onse: | 0.5 |
| | | FI | or Section 30(h) of the Investment Company Act of 1940 | • | | | |
| 1. Name and Addre | ss of Reporting Pe | erson* | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of F (Check all applicab | | on(s) to Issuer | |
| Philippe Cou | <u>rtot Family 7</u> | <u>Frust</u> | QUALYS, INC. [QLYS] | Director | X | 10% Owner | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 09/24/2021 | - Officer (gi below) | ve title | Other (specif below) | fy |
| C/O QUALYS, 2 919 E. HILLSD | | | | | | | |
| | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joir Line) | nt/Group Filing (| (Check Applica | able |
| (Street) | | | | X Form filed | by One Report | ting Person | |
| FOSTER CITY | CA | 94404 | _ | Form filed Person | by More than (| One Reporting | ļ |
| (City) | (State) | (Zip) | | | | | |
| | Ti | able I - Non-Deri | vative Securities Acquired. Disposed of, or Bene | ficially Owned | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Disposed Of | | ed (A) or tr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------------------------------|---|------------------------------|---------------|----------------------------------|--|--|---|
| | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 09/24/2021 | | S | | 1,000 | D | \$120.2414 ⁽¹⁾ | 4,640,560 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | | | | • | | | | | | | |
|---|---|--|---|------------------------------|---|-----|-----|--|---|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of | | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The sale price represents the weighted average price of the shares sold ranging from \$120.04 to \$120.6491 per share. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

Remarks:

/s/ Nicolas Courtot, Trustee

** Signature of Reporting Person

09/29/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.